A

US-28-06

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	_	Application Number	10/569,873	
TRANSMITTAL		Filing Date	February 24, 2006	
		First Named Inventor	Wei CHENG	
		Art Unit	1626	
4.1. 45.4		Examiner Name		
(to be used for all correspondence after Total Number of Pages in This Submiss		Attorney Docket Number	05-953-A5 (EX04-061C-US)	
	ENCLO	SURES (check all that apply)		
Fee Transmittal Form	Drawing(s		After Allowance Communication to TC	
Fee Attached	I	related Papers	Appeal Communication to Board of Appeals and Interferences	
Amendment / Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Final	Petition to Convert to a Provisional Application		Proprietary Information	
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address		Status Letter	
Extension of Time Request	Terminal Disclaimer		Other Enclosure(s) (please identify below):	
Express Abandonment Request	Request fo	or Refund per of CD(s)	PTO/SB/08a (1-page) copies of 6 references	
☐ Information Disclosure Statement	l — ·	dscape Table on CD	postcard receipt Certificate of Express Mailing	
Certified Copy of Priority Document(s)	Remarks Customer No	o. 23500		
Reply to Missing Parts/ Incomplete Application				
Reply to Missing Parts under 37 CFR1.52 or 1.53				
SIGN	NATURE OF A	APPLICANT, ATTORNEY, C	DR AGENT	
Firm				
Signature	Signature Robert J. Bernstein			
Printed Name	Robert L. Berns			

CERTIFICATE OF EXPRESS MAILING PURSUANT TO 37 CFR 1.10

2006

Reg.

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" to the USPTO with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Express Mail No.: EV 730 171 161 US

Date

Signature

August 2

Joyce G. Cohen, Reg. No. 44,622 Typed or printed name

Date

46,020

August <u>25</u>, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of Wei CHENG et al.

Application No.: 10/569,873

Filed: February 24, 2006

For: C-KIT MODULATORS AND

METHODS OF USE

Confirmation No.: 9736

Art Unit: 1626

Examiner: Not yet Assigned

Attorney Docket No.: 05-953-A5

(EX04-061C-US)

INFORMATION DISCLOSURE STATEMENT

Attn: Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

4

In accordance with 37 C.F.R §1.56, this Information Disclosure Statement is being submitted for the above-referenced application.

In accordance with 37 C.F.R §1.97 (g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined by 37 C.F.R. §1.56 exists.

In accordance with 37 C.F.R §1.97 (h), the disclosure contained herein is not intended to constitute an admission that any patent, publication, or other information referred to is "prior art" or "analogous art" for this invention.

A concise explanation of the relevance, in accordance with 37 C.F.R §1.98 (1)(3)(i), of each patent, publication, or other information listed that is not in the English language is as follows:

- 1. JP 60-233649 (citation B-2 on the attached PTO/SB/08a form), in Japanese with an English language abstract attached, discloses color photographic photosensitive materials; and
- 2. WO 01/70678 A2 (citation B-4 on the attached PTO/SB/08a form), in German with an English language abstract at the bottom of the front page, discloses substituted biphenyl derivatives.

Serial No. 10/569,873 Attorney Docket No. 05-953-A5

Page 2 of 2

This Information Disclosure Statement is being submitted before the mailing of a first Office Action on the merits, and therefore no fee is believed to be due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any required fees, if necessary, to Deposit No. 50-1108.

Respectfully submitted,

Dated: August 25, 2006

EXELIXIS, INC.

210 East Grand Avenue, P.O. Box 511 South San Francisco, CA 94083-0511

Tel: (650) 837-7352; Fax: (650) 837-8234

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

SUBSTITUTE for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet of

Complete if Known				
Application Number	10/569,873			
Filing Date	February 24, 2006			
First Named Inventor	Wei CHENG '			
Art Unit	1626			
Examiner Name	to be assigned			
Attorney Docket Number 05-953-A5 (EX04-061C-US)				

U.S. PATENT DOCUMENTS					
Examiner	Cite No.1	Document Number	- Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
Initials *		Number - Kind Code ² (if known)			Passages or Relevant Figures Appear
		US-			

			FOREIGN PA	TENT DOCU	MENTS		
Eve	Examiner	Cite No. ¹	Foreign Patent Document	Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
lni	tials*		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Date MM-DD-YYYY			
/K	.B./	B-1	EP 0 443 059 B1	06/17/1998	Nihon Nohyaku Co., Ltd.		
	0000000	B-2	JP 60-233649 (with English language Abstract)	1985			
	0000	B-3	WO 86/02642	05/09/1986	FMC Corporation		
	00000000	B-4	WO 01/70678 A2	0927/2001	Merck Patent GMBH		
		B-5	WO 02/057236 A1	07/25/2002	Merck Patent GMBH		
S. S		B-6	WO 2004/062475 A2	07/29/2004	Albert Einstein College of Medicine of Yeshiva University		
S. S		B-6	WO 2004/062475 A2	07/29/2004	Medicine of Yeshiva		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
	i . -			

		1	
Examiner Signature	/Kristin Bianchi/	Date Considered	04/22/2009

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Aid of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.